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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)



Declaration
Submitted
With Initial
Filing

OR



Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket
Number

AND-5001-US

First Named Inventor

BILLETTA, Rosario

COMPLETE IF KNOWN

Application Number

To Be Assigned

Filing Date

13 April 2006

Art Unit

To Be Assigned

Examiner Name

To Be Assigned

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MOLECULES FOR MODULATING ACTIVITY OF TOLL-LIKE RECEPTORS AND METHODS OF USING THE SAME

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
60/513,231	United States of America	21 October 2003 (21.10.2003)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
PCT/US2004/034915	United States of America	21 October 2004 (21.10.2004)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.


[Page 1 of 3]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> The address associated with Customer Number: 35,938		OR <input type="checkbox"/> Correspondence address below	
Name			
Address			
City		State	ZIP
Country	Telephone		Email
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Rosario		Family Name or Surname Bilotta	
Inventor's Signature 			Date 3/30/06
Residence: City San Diego	State CA	Country US	Citizenship IT
Mailing Address 4204 Sorrento Valley Road, Suites A-C			
City San Diego	State CA	Zip 92121	Country US
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Berent J.		Family Name or Surname PRAKKEN	
Inventor's Signature			Date
Residence: City TA Utrecht	State NL	Country Netherlands	Citizenship NL
Mailing Address Pieter Saenredamstraat 13			
City TA Utrecht	State NL	Zip 3585	Country Netherlands
<input type="checkbox"/> Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/CD/02A or 02LN attached hereto.			

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DECLARATION — Utility or Design Patent ApplicationDirect all
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Email

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Rosario

Family Name or Surname

Billetta

Inventor's Signature

Date

Residence: City

San Diego

State

CA

Country

Citizenship IT

Mailing Address

4204 Sorrento Valley Road, Suites A-C

City

San Diego

State

CA

Zip

92121

Country

US

NAME OF SECOND INVENTOR:

A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Berent J.

Family Name or Surname

PRAKKEN

Inventor's Signature

AB 7

Date

31 March 2006

Residence: City

TA Utrecht

State

NL

Country

Netherlands

Citizenship

NL

Mailing Address

Pieter Saenredamstraat 13

City

TA Utrecht

State

NL

Zip

3585 TA

Country

Netherlands



Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

10/576675

IAP15 Rec'd PCT/PTO 21 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
AS RECEIVING OFFICE FOR WIPO/PCT

Applicant: Androclus Therapeutics, Spa
International Application PCT/US04/34915
No.:
International Filing Date: 10/21/2004
Title of Invention: Molecules for Modulating Activity of Toll-Like Receptors
and Methods of Using the Same
Agent's Reference: AND-5001-PC

REQUEST TO CHANGE CORRESPONDENCE ADDRESS

RULE 92 b/s

VIA FAX: 571-273-3201

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

Dear Sir/Madam:

Applicant respectfully requests that all future correspondence be mailed to BioTechnology Law Group's new address as follows:

BioTechnology Law Group
C/O Portfolio IP
P.O. Box 52050
Minneapolis, MN 55402

Respectfully submitted,



DATE: 4 March 2006

Daniel M. Chambers

BioTechnology Law Group
527 North Highway 101, Suite E
Solana Beach, CA 92075-1173
Telephone: (858) 350-9690
Fax: (858) 350-9691

email: dan@biotechnologylawgroup.com

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10/576675

IAP15 Rec'd PCT/PTO 21 APR 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 23, 2005

PTAS



102978527A

DANIEL M. CHAMBERS
BIOTECHNOLOGY LAW GROUP
658 MARSOLAN AVENUE
SOLANA BEACH, CA 92075UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/08/2005

REEL/FRAME: 016437/0907

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ALBANI, SALVATORE

DOC DATE: 02/03/2005

ASSIGNEE:

ANDROCLUS THERAPEUTICS S.P.A.
4204 SORRENTO VALLEY ROAD
SUITES A-C
SAN DIEGO, CALIFORNIA 92121

SERIAL NUMBER:

FILING DATE: 10/21/2004

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US0434915

TITLE: MOLECULES FOR MODULATING ACTIVITY OF TOLL-LIKE RECEPTORS AND METHODS
OF USING THE SAME

NA

016437/0907 PAGE 2

LENELL MACKALL, SUPERVISOR
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

4/8/05

A/D

581-40

04-12-2005

IAP15 Rec'd PCT/PTO 21 APR 2006

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (Rev. 8/30/2005)



U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

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PATENTS ONLY

10/576675

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s): ALBANI, Salvatore (03 February 2005) Execution Date(s) <u>03 February 2005</u> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>ANDROCLUS THERAPEUTICS S.p.A.</u> Internal Address: _____ Street Address: <u>4204 Sorrento Valley Road</u> <u>Suites A-C</u> City: <u>San Diego</u> State: <u>CA</u> Country: <u>U.S.A.</u> Zip: <u>92121</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____		4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>PCT/US2004/034915 (21 Oct. 2004)</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No B. Patent No.(s) APR - 8 AM 7:26 COR/FINANCE	
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Daniel M. Chambers, BioTechnology Law Group</u> Internal Address: _____ Street Address: <u>658 Marsolan Avenue</u> City: <u>Solana Beach</u> State: <u>CA</u> Zip: <u>92075</u> Phone Number: <u>(858) 350-9690</u> Fax Number: <u>(858) 350-9691</u> Email Address: <u>dan@biotechnologylawgroup.com</u>			
6. Total number of applications and patents involved: <u>1</u>		7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 <input type="checkbox"/> Authorized to be charged by credit card <input type="checkbox"/> Authorized to be charged to deposit account <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)	
8. Payment Information a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number _____ Authorized User Name _____		9. Signature: <u>[Signature]</u> <u>4 APR 2005</u> Signature Date Daniel M. Chambers Name of Person Signing Total number of pages including cover sheet, attachments, and documents: <u>2</u>	

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT – Worldwide

WHEREAS I, Salvatore Albani, of Androclus Therapeutics S.p.A. and inventor of an invention entitled:

**MOLECULES FOR MODULATING ACTIVITY OF
TOLL-LIKE RECEPTORS AND METHODS OF USING SAME**

For a previously filed **provisional application** filed on October 21, 2003 as Serial No.: 60/513,231 and a new application was filed in the United States Receiving Office of the United States Patent and Trademark Office herewith on **October 21, 2004**; International Application No. **PCT/US04/34915**.

AND WHEREAS I contributed to the above-entitled invention during the course of my employment with **Androclus Therapeutics S.p.A., a corporation** organized under the laws of _____, at 4204 Sorrento Valley Road, Suites A-C, San Diego, CA 92121;

NOW THEREFORE, for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, I, do hereby sell and assign to the said

ANDROCLUS THERAPEUTICS S.p.A.

as assignee, and its successors, assigns and legal representatives, the entire right, title and interest for all countries in and to the said invention and in and to the said application and any and all Letters Patent that may be obtained therefor in all countries.

AND I request that any and all Letters Patent for said invention be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

AND I agree that when requested I will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said invention, in all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

AND I authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any applications for patent or other form of protection for said invention filed by it or them the benefit of the right of priority provided by the International Convention for Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it and to invoke and claim such right or priority without further written or oral authorization from me, and I hereby assign to said assignee all my said right to claim priority in any country from said application which is to be filed in the United States Patent and Trademark Office.

AND I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of priority provided by said Convention, as amended, or by any convention which may henceforth be substituted for it.

Signed at S. D. P. O. [City where signed], USA [Country where signed]
this day of 3-7-05, 200.


Salvatore


Witness

ANDROCLUS THERAPEUTICS S.p.A. hereby accepts this assignment.


[Officer or Director of the Company]


Witness

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**POWER OF ATTORNEY
and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	To Be Assigned
Filing Date	13 April 2006
First Named Inventor	BILLETTA, Rosario
Title	Molecules for Modulating Activity of Toll-Like...
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	AND-5001-US

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

35,938

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

35,938

OR

☐ Firm or Individual Name

Address

City

State

Zip

Country

Telephone


Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature		Date	3/28/2006
Name	Igor Bilinsky	Telephone	(858) 909-0020
Title and Company	Chief Executive Officer		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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